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# **Remarkable Changes in the Optical Properties of CeO2 Nanocrystals Induced by Lanthanide Ions Doping**

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Highly uniform and well-dispersed CeO<sub>2</sub> and CeO<sub>2</sub>:Eu<sup>3+</sup> (Sm<sup>3+</sup>, Tb<sup>3+</sup>) nanocrystals were prepared by a nonhydrolytic solution route and characterized by X-ray diffraction (XRD), transmission electron microscopy (TEM), X-ray photoelectron spectra (XPS), UV/vis absorption, and photoluminescence (PL) spectra, respectively. The result of  $XRD$  indicates that the  $CeO<sub>2</sub>$  nanocrystals are well crystallized with a cubic structure. The TEM images illustrate that the average size of CeO<sub>2</sub> nanocrystals is about 3.5 nm in diameter. The absorption spectrum of CeO<sub>2</sub>:Eu<sup>3+</sup> nanocrystals exhibits red-shifting with respect to that of the undoped  $CeO<sub>2</sub>$  nanocrystals. Under the excitation of 440 nm (or 426 nm) light, the colloidal solution of the undoped  $CeO<sub>2</sub>$  nanocrystals shows a very weak emission band with a maximum at 501 nm, which is remarkably enhanced by doping additional lanthanide ions (Eu<sup>3+</sup>, Tb<sup>3+</sup>,  $Sm^{3+}$ ) in the CeO<sub>2</sub> nanocrystals. The emission band is not due to the characteristic emission of the lanthanide ions but might arise from the oxygen vacancy which is introduced in the fluorite lattice of the CeO<sub>2</sub> nanocrystals to compensate the effective negative charge associated with the trivalent ions.

## **Introduction**

Rare-earth oxides with different nanostructures are of great importance because of their applications in high-performance luminescent devices, biological labeling, magnets, and catalysts based on the electronic, optical, and chemical characteristics arising from their 4f electrons. $1-8$  Compared with the other nanostructured lanthanide oxides,  $CeO<sub>2</sub>$ nanocrystals have been widely investigated as important functional materials.<sup>6-17</sup> CeO<sub>2</sub> nanoparticles can be used as

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three-way catalysts (TWC) for exhaust gas treatment from automobiles, oxygen ion conductors in solid oxide fuel cells, polishing agents for the chemical mechanical planarization (CMP) process, gate oxides in metal oxide semiconductor devices, and ultraviolet (UV) blocking materials in UV shielding, respectively.<sup>6-10</sup> Nanostructured CeO<sub>2</sub> has been prepared by various methods such as forced hydrolysis, $11$ the microemulsion method,<sup>12</sup> solvothermal synthesis, $6a,8$  the two-stage precipitation process,<sup>13</sup> nonhydrolytic sol-gel synthesis,<sup>6b,7</sup> solid-state reactions,<sup>14</sup> mechanochemical reactions,<sup>15</sup> sonochemical synthesis,<sup>16,17</sup> microwave irradiation,<sup>18</sup> and so on.

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However, it is difficult to synthesize highly uniform and well-dispersed  $CeO<sub>2</sub>$  nanocrystals on the basis of the following reasons. First, it is not easy to choose the appropriate precursor complexes and the crystalline temperatures for rareearth oxides are relatively high. Second, the agglomeration of nanopcrystals is very common because the nanocrystals tend to decrease the exposed surface to lower the surface energy. Hence the literature on the synthesis of highly uniform and well-dispersed  $CeO<sub>2</sub>$  nanocrystals is limited.<sup>6b,7,12,19a</sup> On the other hand, most of the investigations on  $CeO<sub>2</sub>$ nanocrystals with particle size less than 10 nm were focused on the lattice relaxation effect,<sup>19</sup> ultraviolet absorption properties, and catalytic activity.<sup>6a,10,12,19a,j</sup> So far only a few researchers have reported lanthanide ion-doped  $CeO<sub>2</sub>$  systems together with their luminescent properties.<sup>20</sup> To the best of our knowledge, the synthesis of uniform and well-dispersed lanthanide ion-doped  $(Eu^{3+}, Tb^{3+}, Sm^{3+})$  CeO<sub>2</sub> nanocrystals and their luminescent properties have not been reported. In this paper, we synthesized the  $CeO<sub>2</sub>$  nanocrystals doped and undoped with other lanthanide ions  $(Eu^{3+}, Tb^{3+}, Sm^{3+})$  via a high-boiling-point solvent process and reported their optical properties. It is interesting to note that the undoped  $CeO<sub>2</sub>$ nanocrystals show a very weak emission band peaking at 501 nm, which is remarkably enhanced by doping additional lanthanide ions  $(Eu^{3+}, Tb^{3+}, Sm^{3+})$  in the CeO<sub>2</sub> nanocrystals. The greatly increased emission band of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  (Tb<sup>3+</sup>,  $Sm^{3+}$ ) nanocrystals (located at 500 nm) is not attributed to the characteristic emission of the lanthanide ions  $(Eu^{3+}, Tb^{3+},$  $\text{Sm}^{3+}$ ) but may arise from the presence of an oxygen vacancy in the lattices of  $CeO<sub>2</sub>$  nanocrystals.

### **Experimental Section**

**Synthesis of CeO2 Nanocrystals Undoped and Doped with Lanthanide Ions.** The  $CeO<sub>2</sub>$ -based nanocrystals were prepared by the nonhydrolytic solvent method in the hexadecane-oleylamineoleic acid system.21 Cerium(III) acetate hydrate (99.999%, Aldrich), hexadecane ( $\geq$ 98%, Fluka), oleyamine (70%, Aldrich), oleic acid (analytical reagent, AR, Beijing Chemical Reagent Co.), and sodium hydroxide ( $\geq$ 96.0%, AR, Beijing Chemical Reagent Co.) were used as received for starting materials.  $Eu(CH_3COO)_3$ ,  $Sm(CH_3COO)_3$ , and  $Tb(CH_3COO)_3$ , were prepared by dissolving the corresponding oxides in acetic acid, and the water in the solutions was distilled



**Figure 1.** XRD patterns of CeO<sub>2</sub> (a), CeO<sub>2</sub>: Eu<sup>3+</sup> (b) nanocrystals, and the standard data for bulk  $CeO<sub>2</sub>$  (c; JCPDS Card No. 75-0076).

off by heating. Typically, 0.4 mmol of cerium(III) acetate hydrate or the stoichiometric amounts of the rare earth acetates (the doping concentrations of Eu<sup>3+</sup>, Tb<sup>3+</sup>, and Sm<sup>3+</sup> are 5 mol % of that of Ce3+), 0.55 mL of oleyamine, and 0.60 mL of oleic acid were mixed with 7.0 mL of hexadecane in a three-neck flask equipped with a condenser. The system was pumped under vacuum at room temperature for 15 min and then heated at 90 °C for 1 h, to form a clear light yellow solution. At 90 °C, 0.06 g of NaOH was added to the clear solution and the system was pumped again under vacuum to remove the minimum water. Then, the solution was heated to 280 °C and remained for 3 h under agitation and argon protection. The solution changed gradually to a brown turbid slurry with the increase of reaction time. These turbid slurries were cooled to room temperature and then isolated and washed by adding a sufficient amount of ethanol and separating by centrifugation. The yielded precipitate can be well dispersed in hexane to form a transparent colloidal solution.

**Characterization.** X-ray diffraction (XRD) was carried out on a Rigaku-Dmax 2500 diffractometer with Cu Kα radiation ( $λ$  = 0.154 05 nm). TEM images were obtained using a JEOL 2010 transmission electron microscope operating at 200 kV. Samples for TEM were prepared by depositing a drop of the colloidal solution onto a carbon-coated copper grid. The excess liquid was wiped out with filter paper, and the grid was dried in air. The particle size distribution histograms were measured with dynamic light scattering (DLS) using a ZETASIZER 1000 HSA instrument. The UV/vis absorption spectra were measured on a TU-1901 spectrophotometer. The X-ray photoelectron spectra (XPS) were taken on a VG ESCALAB MK II electron spectrometer using Mg  $K\alpha$ (1253.6 eV) as the exciting source. The excitation and emission spectra were taken on an F-4500 spectrophotometer equipped with a 150 W xenon lamp as the excitation source. All the measurements were performed at room temperature.

#### **Results and Discussion**

**Phase Structure and Morphology of the Nanocrystals. XRD.** Figure 1 shows the XRD patterns of  $CeO<sub>2</sub>$  (a),  $CeO<sub>2</sub>$ :  $Eu^{3+}$  (b) nanocrystals, and the standard data for bulk  $CeO<sub>2</sub>$ (c). The results of XRD indicate that the  $CeO<sub>2</sub>$  nanocrystals are well-crystallized and the patterns are in good agreement with a cubic structure (space group,  $Fm3m$  (No. 225); cell, 0.5389 × 0.5389 × 0.5389 nm<sup>3</sup> and  $\alpha = \beta = \gamma = 90^{\circ}$ )

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**Figure 2.** TEM micrograph of CeO<sub>2</sub> nanocrystals (a; insets are the highresolution TEM image and a selected area electron diffraction pattern, SAED) and the HRTEM image of CeO<sub>2</sub>:  $Eu^{3+}$  nanocrystals (b).

known from bulk  $CeO<sub>2</sub>$  (JCPDS Card No. 75-0076). According to the Scherrer equation, the strongest peak (111) at  $2\theta = 28.7^{\circ}$  and the peak (220) at  $2\theta = 47.1^{\circ}$  were used to calculate the average crystallite size of  $CeO<sub>2</sub>$  nanocrystals, determined to be around 2.0 nm. The calculated cell parameter (*a*) is equal to 0.5426 nm, a little larger than that of bulk  $CeO<sub>2</sub>$  (0.5389 nm, JCPDS Card No. 75-0076). This is due to the lattice expansion effect resulting from increased oxygen vacancies and  $Ce^{3+}$  ions with decreasing particle size.<sup>19b,c,f-h</sup> When CeO<sub>2</sub> nanocrystals were doped with  $Eu^{3+}$ ions, all diffraction peaks can still be indexed to the cubic structure of  $CeO<sub>2</sub>$  phase, as shown in Figure 1b. Compared with the undoped  $CeO<sub>2</sub>$  nanocrystals, the peak (200) at  $2\theta$  $= 33.0^{\circ}$  was present in the XRD patterns of the doped sample, which may be due to the anisotropic growth induced by the doping ions. The average crystal size of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals estimated by the Scherrer equation increased to 2.6 nm, and the calculated cell parameter values decrease slightly (0.5394 nm).

**TEM.** Figure 2a shows a typical TEM image of  $CeO<sub>2</sub>$ nanocrystals. From the TEM image it can be seen that the mean diameter of  $CeO<sub>2</sub>$  nanocrystals is about 3.5 nm, which



**Figure 3.** UV/vis absorption spectra of CeO<sub>2</sub> (a) and CeO<sub>2</sub>:Eu<sup>3+</sup> (b) nanocrystals solution. Insets are the photographs of these two samples (in hexane solution).

is larger than that (2.0 nm) calculated from the Scherrer equation. This demonstrates that the  $CeO<sub>2</sub>$  nanocrystals are of polycrystalline nature. The high-resolution TEM image (left inset) displays lattice fringes for nanocrystals, indicating that these  $CeO<sub>2</sub>$  nanocrystals possess high crystallinity. The interplanar spacing of the  $CeO<sub>2</sub>$  nanocrystals is about 0.31 nm, which is identical with the (111) facet distance of bulk CeO2 phase. The selected area electron diffraction (SAED) patterns (right inset) are consistent with a cubic structure of  $CeO<sub>2</sub>$  with strong ring patterns due to  $(111)$ ,  $(200)$ ,  $(220)$ , and (311) planes, in good agreement with the XRD result. The particle size distribution histogram of  $CeO<sub>2</sub>$  nanocrystals measured with the dynamic light scattering technique is shown in Figure S-1 (Supporting Information). It can be seen that the size distribution of  $CeO<sub>2</sub>$  nanocrystals is narrow with an average particle size of 3.8 nm, in agreement with the result observed by TEM image. As for  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals, the doping of  $Eu^{3+}$  ions resulted in the increase of crystallinity, the presence of an irregular morphology, and a little larger particle size compared with the undoped nanocrystals, as shown in the typical high-resolution TEM image of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals (Figure 2b, bottom). This result is basically consistent with that observed by XRD data.

**Optical Properties. UV/Vis Absorption Spectra.** As ultraviolet blocking materials,  $CeO<sub>2</sub>$  nanocrystals have strong absorption properties in the ultraviolet range. Figure 3a shows the UV/vis absorption spectrum for the hexane colloidal solution of  $CeO<sub>2</sub>$  nanocrystals (deep yellow, inset a in Figure 3). There is a strong absorption band below 400 nm in the spectrum, which is due to the charge-transfer transition from  $Q^{2-}$  (2p) to Ce<sup>4+</sup> (4f) orbitals in CeO<sub>2</sub>.<sup>6a</sup> When CeO<sub>2</sub> nanocrystals were doped with  $Eu<sup>3+</sup>$  ions, we could obtain the  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals using the same experimental procedure. Similar to the undoped  $CeO<sub>2</sub>$  nanocrystals, the  $Eu^{3+}$ -doped  $CeO_2$  nanocrystals can also be dispersed in hexane to form a transparent and light yellow colloidal solution, as shown in inset b of Figure 3. The absorption spectrum of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals (Figure 3b) exhibited a red shift compared with that of  $CeO<sub>2</sub>$  nanocrystals. For



**Figure 4.** XPS spectra of Ce in  $CeO<sub>2</sub>$  (a) and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  (b) nanocrystals. (Inset is the  $Eu^{3+}$  3d peaks in the latter.)

CeO2 nanocrystals with a particle size of several nanometers, it is well-known that there coexisted a small amount of  $Ce^{3+}$ at the surface of  $CeO<sub>2</sub>$  and the fraction of  $Ce<sup>3+</sup>$  ions increased with decreasing particle size.<sup>19h,22</sup> The presence of  $Ce^{3+}$  ions in the  $CeO<sub>2</sub>$  nanocrystals can be confirmed by XPS analysis, as shown in Figure 4 (top).<sup>22</sup> In the XPS spectrum of Ce in CeO<sub>2</sub> nanocrystals, the peaks of Ce<sup>4+</sup>  $3d_{3/2}$  and Ce<sup>4+</sup>  $3d_{5/2}$  at binding energies of 917.2 and 899.0 eV, respectively, are very weak, while those of  $Ce^{3+} 3d_{3/2}$  and  $Ce^{3+} 3d_{5/2}$  located at 901.8 and 882.9 eV are relatively strong. This indicates that the  $Ce^{3+}$  ions are mainly located on the surface of  $CeO<sub>2</sub>$ nanocrystals. Due to the change from  $Ce^{4+}$  to  $Ce^{3+}$  ions in  $CeO<sub>2</sub>$  nanocrystals, the charge-transfer gap between O 2p and Ce 4f bonds increased, which led to the blue-shift of absorption spectrum for  $CeO<sub>2</sub>$  nanocrystals compared with  $CeO<sub>2</sub>$  bulk powders.<sup>10</sup> As for  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals, compared with the undoped  $CeO<sub>2</sub>$  nanocrystals, it can be seen from its XPS spectrum (Figure 4, bottom) that the peaks of  $Ce^{4+}$  3d<sub>3/2</sub> and  $Ce^{4+}$  3d<sub>5/2</sub> become strong, while those of  $Ce^{3+} 3d_{3/2}$  and  $Ce^{3+} 3d_{5/2}$  are relatively weak. This indicates that the doping of  $Eu^{3+}$  ions in the CeO<sub>2</sub> nanocrystals results in the decrease of the  $Ce^{3+}$  fraction on the surface due to the replacement of  $Ce^{3+}$  by trivalent  $Eu^{3+}$  ions. Hence, the red-shifting occurs in the absorption spectrum of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals because of the decrease of the change from  $Ce<sup>4+</sup>$ to  $Ce^{3+}$ . Meanwhile, the peaks of  $Eu^{3+}$  3d are present in the XPS spectrum, as shown in Figure 4 (inset), and the presence of Eu element in the  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals can also be confirmed by the energy-dispersive X-ray spectrum (Figure S-2). The absorption spectra of  $CeO<sub>2</sub>:Sm<sup>3+</sup>/Tb<sup>3+</sup>$  nanocrystals solutions (Figure S-3) also exhibited a red shift compared with that of  $CeO<sub>2</sub>$  nanocrystals; however, the red-shift is not as evident as that of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals.

According to the data for the absorption spectra, the optical band gaps  $(E_{g})$  of CeO<sub>2</sub> and CeO<sub>2</sub>:Eu<sup>3+</sup> nanocrystals can be estimated by using the following equation:

$$
\alpha h \nu = C(h\nu - E_{g})^{n} \tag{1}
$$

Here  $\alpha$  is the absorption coefficient, *hv* is photon energy, *C* is the constant,  $n = 1/2$  for a directly allowed transition, and  $n = 2$  for an indirectly allowed transition.<sup>17</sup> The optical absorption coefficient  $\alpha$  was calculated according to the equation  $\alpha = (2.303 \times 10^3 A\rho)/l_c$ , where *A* is the absorbance of a sample,  $\rho$  is the real density of CeO<sub>2</sub> (7.28 g cm<sup>-3</sup>), *l* is<br>the path length, and *c* is the concentration of the ceria the path length, and *c* is the concentration of the ceria suspensions.<sup>12</sup> For direct transitions, the plots of  $(\alpha h\nu)^2$  versus<br>photon energy for  $CeO_2(a)$  and  $CeO_2$ ;  $Eu^{3+}$  (b) nanogyvetals photon energy for  $CeO<sub>2</sub>$  (a) and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  (b) nanocrystals are shown in Figure S-4. The intersection of the extrapolated linear portions gives the direct band gap energy  $(E_d)$ , and the values are 3.66 for  $CeO<sub>2</sub>$  and 3.33 eV for  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals, respectively. For indirect transitions, the plots of  $(\alpha h \nu)^{1/2}$  versus photon energy for the above two samples are shown in Figure S-5, and the intersection of the extrapolated linear portions gives the indirect band gap energy  $(E_i)$ . The  $E_i$  values of CeO<sub>2</sub> and CeO<sub>2</sub>:Eu<sup>3+</sup> nanocrystals are 3.13 and 2.69 eV, respectively. The values of  $E_d$ and  $E_i$  for  $CeO_2$  nanocrystals are consistent with the results reported in the literatures.17,23 The absorption edge of the present CeO<sub>2</sub> nanocrystals ( $E_d = 3.66$  eV) is blue-shifted evidently compared with that of the bulk  $CeO<sub>2</sub>$  powders ( $E<sub>d</sub>$  $= 3.15$  eV), which is mainly resulted from quantum confinement effect. The estimated Bohr exciton radius for  $CeO<sub>2</sub>$  is about 7-8 nm,<sup>19e</sup> and the average size of our  $CeO<sub>2</sub>$ nanocrystals is only around 3.5 nm, which should be small enough for the quantum confinement effect to take place. This effect on the direct band gap  $(E_d)$  can be calculated by the following equation: $19i$ 

$$
E_{\rm d} = E_{\rm g} + \frac{\hbar^2 \pi^2}{2R^2} \left[ \frac{1}{m_{\rm e}} + \frac{1}{m_{\rm h}} \right] - \frac{1.8 \rm e^2}{\epsilon R} \tag{2}
$$

Here  $E<sub>g</sub>$  is the band gap (for CeO<sub>2</sub> powders,  $E<sub>g</sub> = 3.15$  eV), *R* is the radius of the nanoparticles,  $m_e$  and  $m_h$  are the effective masses of the electron and hole, respectively, and  $\epsilon$  is the relative dielectric constant of CeO<sub>2</sub> (24.5). Using  $m_e$  $m_h = 0.4m$  (*m* is the mass of a free electron)<sup>19i</sup> and  $R =$ 3.5 nm, the calculated value of  $E<sub>g</sub>$  is about 3.30 eV, which is less than the  $E_d$  value (3.66 eV) of  $CeO_2$  nanocrystals calculated from the absorption spectrum. It is assumed that

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**Figure 5.** Excitation (a) and emission (b) spectra of  $CeO<sub>2</sub>$  (red dash line) and CeO<sub>2</sub>:Eu<sup>3+</sup> (black solid line) nanocrystals in hexane solution.

the valence change from  $Ce^{4+}$  to  $Ce^{3+}$  on the surface of nanocrystals might have some additional contributions to the blue-shifting of  $CeO<sub>2</sub>$  nanocrystals because the change from +4 to +3 increases the charge-transfer gap between O 2p and Ce 4f bands, as reported previously.<sup>10</sup> The presence of  $Ce^{3+}$  in  $CeO<sub>2</sub>$  nanocrystals has been confirmed by the XPS study mentioned before. When  $Eu^{3+}$  ions were doped into  $CeO<sub>2</sub>$  nanocrystals, the change from  $Ce<sup>4+</sup>$  to  $Ce<sup>3+</sup>$  ions decreased evidently (by XPS, Figure 4) and the contribution of blue-shifting arising from this valence change became very small. Hence, the  $E_d$  (3.33 eV) of CeO<sub>2</sub>:Eu<sup>3+</sup> nanocrystals obtained by UV/vis absorption spectra is very close to the calculated value of  $E<sub>g</sub>$  (3.30 eV).

**Photoluminescence Properties.** The photoluminescent (PL) properties of  $CeO<sub>2</sub>$  and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals were examined under the identical instrumental conditions. It is shown that  $CeO<sub>2</sub>$  nanocrystals exhibit only a very weak broad band emission, which is remarkably enhanced (more than 20 times) by  $Eu^{3+}$  doping. Figure 5 gives the excitation (a) and emission (b) spectra for the colloidal solutions of  $CeO<sub>2</sub>$ (red dash lines)and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  (black solid lines) nanocrystals. From Figure 5, it can be seen that the excitation and emission spectra (enlarged by 10 times in the figure) for colloidal solution of  $CeO<sub>2</sub>$  nanocrystals consist of a broad band with maxima at 445 and 501 nm, respectively, whereas those of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals show much stronger excitation and emission bands with maxima at 426 and 498 nm, respectively. The characteristic  $f-f$  emission lines of  $Eu^{3+}$ have not been detected in  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals. Furthermore, it is surprising that the excitation spectra of  $CeO<sub>2</sub>$  and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals are greatly different from their absorption spectra (Figure 3). This indicates that the emissions from  $CeO<sub>2</sub>$  and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals are not caused by the charge-transfer transition from  $O^{2-}$  to  $Ce^{4+}$  but from other emission centers. Basically, we can exclude the possibility that the luminescence is from  $Ce^{3+}$  according to the following facts. First, if the emission is from  $Ce^{3+}$  due to its 5d-4f transition, the excitation spectrum may be structured due to the transition from 4f ground state to

different 5d components split by the crystal fields, and the emissions generally show a double band with an energy difference around 2000  $cm^{-1}$  due to the ground state splitting of  $Ce^{3+}$  ( ${}^{2}F_{5/2}$ ,  ${}^{2}F_{7/2}$ ).<sup>1b</sup> Obviously, these general characteristics for the luminescence of  $Ce^{3+}$  have not been observed in the excitation and emission spectra of  $CeO<sub>2</sub>$  and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals. Furthermore, if the emission is from  $Ce^{3+}$  in  $CeO<sub>2</sub>$  and  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals, the emission intensity of the former might be stronger than that of the latter because more  $Ce^{3+}$  ions may exist in the former than the latter (on the basis of XPS results, Figure 4). But the experimental results do not support this assumption. So we conclude that the luminescence is not from  $Ce^{3+}$ .

To explain the above luminescent phenomena, it is necessary to propose a possible luminescence mechanism. As described above, there coexists  $Ce^{3+}$  ions on the surface of  $CeO<sub>2</sub>$  nanocrystals and the fraction of  $Ce<sup>3+</sup>$  ions increases with decrease of the particle size.<sup>19h,22</sup> Due to the presence of  $Ce^{3+}$  ions in  $CeO<sub>2</sub>$  nanocrystals, oxygen vacancies are introduced in the crystal to compensate the effective negative charge associated with the trivalent ions. $20b,24-26$  The formation of intrinsic oxygen vacancies can be expressed by the following equation:

$$
CeO2 \rightarrow Ce1-x(IV) \cdot Cex(III) \cdot O2-x/2 \cdot (x/2)Vo^{\bullet \bullet} + (x/4)O2
$$

Here  $Vo^{\bullet\bullet}$  is oxygen vacancy. When  $CeO<sub>2</sub>$  nanocrystals are doped with  $Eu<sup>3+</sup> ions$ , extrinsic oxygen vacancies (Vo<sup>\*\*</sup>) will be generated in the fluorite lattice of the nanocrystals and the fraction of trivalent  $Ce^{3+}$  ions in the doped nanocrystals must be decreased due to the replacement of  $Ce^{3+}$  by trivalent  $Eu^{3+}$  ions. The decreasing of  $Ce^{3+}$  ions and the presence of  $Eu^{3+}$  ions on the surface of  $CeO_2:Eu^{3+}$  nanocrystals have been confirmed by XPS, EDS analysis, and absorption spectra data. The reaction equation can be expressed as

$$
CeO2 \rightarrow Ce1-y(IV) \cdot Lny(III) \cdot O2-y/2 \cdot (y/2)Vo^{\bullet \bullet} + (y/4)O2
$$

where Ln is a trivalent lanthanide ion (e.g.,  $Eu^{3+}$ ). The Vo<sup>\*\*</sup> concentration in  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals must be higher than that in the undoped  $CeO<sub>2</sub>$  nanocrystals because the doping concentration of  $Eu^{3+}$  is much larger than the fraction of Ce3<sup>+</sup> in undoped nanocrystals. Considering that the PL intensity of the  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals is so strong while that of  $CeO<sub>2</sub>$  nanocrystals is very weak (Figure 5), we can safely deduce that the strong emission of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals excited at 440 nm may arise from the presence of a large amount of Vo<sup>\*\*</sup> in the doped nanocrystals.

The assumed photoluminescence mechanism can be illustrated by the schematic diagram of the energy band of

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**Figure 6.** Schematic diagram for the luminescence process in  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals. The conduction band (CB), valence band (VB), oxygen vacancy ( $V_0^{\bullet\bullet}$ ), electron ( $\Theta$ ), and hole ( $\oplus$ ) are shown inside the figure.

 $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals, as shown in Figure 6.<sup>27</sup> The excitation band peaking at 426 nm (2.93 eV) can be basically attributed to the indirectly allowed transition (the calculated value of  $E_i$  is about 2.70 eV). Upon excitation with the light of 426 nm, electrons were excited from the valence band (VB) to the conduction band (CB), producing holes in VB. The electrons in CB move freely and are trapped by the oxygen vacancy  $(V_0^{\bullet\bullet})$  to form the donor; meanwhile the holes may populate above the VB to form the acceptor. The recombination of the electrons and holes thus produces the visible emission around 500 nm. If the oxygen vacancy (Vo") in  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals is the key factor to lead to the visible emission band, then  $CeO<sub>2</sub>$  nanocrystals doped with other trivalent lanthanide ions should produce a similar emission band. So we synthesized  $Sm^{3+}$ - and Tb<sup>3+</sup>-doped  $CeO<sub>2</sub>$  nanocrystals and measured their PL spectra. Figure S-6 shows the PL spectra of  $CeO<sub>2</sub>:Sm<sup>3+</sup>$  and  $CeO<sub>2</sub>:Tb<sup>3+</sup>$ nanocrystal solutions, respectively. It can be seen that the excitation and emission spectra of  $Sm^{3+}$ - and  $Tb^{3+}$ -doped  $CeO<sub>2</sub>$  nanocrystals are similar to that of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals. This further confirms that the emission band (∼500 nm) is not the characteristic emission of doping lanthanide ions and must be relative to the oxygen vacancy in  $CeO<sub>2</sub>$ nanocrystals.

Finally, we explain the absence of  $Eu^{3+}$  emission in  $CeO<sub>2</sub>$ nanocrystals briefly. We think there are several possibilities

that may bring about the absence of  $Eu^{3+}$  emission in  $CeO<sub>2</sub>$ nanocrystals. One possible reason is that the  $Eu^{3+}$  ions are located at  $O_h$  centrosymmetric sites (Ce<sup>4+</sup> in CeO<sub>2</sub>) in which the radiative transition probability of  $Eu^{3+}$  is very small. Other reasons include the formation of  $Eu^{2+}-Ce^{4+}$  ion pairs, which are not luminescent.<sup>28</sup> Furthermore, the absorption of UV energy occurred in  $CeO<sub>2</sub>$  very easily compared to the  $Eu^{3+}-O^{2-}$  charge transfer and f-f transitions of  $Eu^{3+}$ , but the absorbed energy is unable to transfer to  $Eu^{3+}$ . All these factors can quench the emission of  $Eu^{3+}$  in  $CeO<sub>2</sub>$  nanocrystals.

## **Conclusions**

We have synthesized the  $CeO<sub>2</sub>$  nanocrystals doped and undoped with trivalent lanthanide ions  $(Eu^{3+}, Sm^{3+}, Tb^{3+})$ and discussed their optical properties. The as-prepared  $CeO<sub>2</sub>$ and  $CeO<sub>2</sub>:Eu<sup>3+</sup> (Sm<sup>3+</sup>, Tb<sup>3+</sup>)$  nanocrystals are highly uniform and can be dispersed in hexane to form a transparent colloidal solution. The absorption spectrum of  $CeO<sub>2</sub>:Eu<sup>3+</sup>$  nanocrystals exhibits a red shift with respect to that of undoped  $CeO<sub>2</sub>$ nanocrystals; i.e., the blocking range for UV is enhanced by doping lanthanide ions in  $CeO<sub>2</sub>$  nanocrystals. The  $CeO<sub>2</sub>:Eu<sup>3+</sup>$ nanocrystals show a remarkable enhanced PL intensity with respect to the  $CeO<sub>2</sub>$  nanocrystals due to the increase of oxygen vacancy in the  $CeO<sub>2</sub>$  nanocrystals.

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**Supporting Information Available:** Figures S1 (particle size distribution histogram for  $CeO<sub>2</sub>$  nanocrystals), S2 (EDS of  $CeO<sub>2</sub>$ : Eu3<sup>+</sup> nanocrystals), S3 (UV/vis absorption spectra), S4 and S5 (plots of  $(\alpha h \nu)^2$  and  $(\alpha h \nu)^{1/2}$  versus photon energy for CeO<sub>2</sub> and CeO<sub>2</sub>:  $Eu<sup>3+</sup>$  nanocrystals), and S6 (excitation and emission spectra of  $CeO<sub>2</sub>:Sm<sup>3+</sup>$  and  $CeO<sub>2</sub>:Tb<sup>3+</sup>$  nanocrystals). This material is available free of charge via the Internet at http://pubs.acs.org.

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